

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION  
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)PCT  
BOX PCTCOMPLETION  
For PCT Cases Only

## IN THE PATENT APPLICATION of

Inventor(s): BERGMANN et al.

Appn. No.: 09/ 381,032

Series Code ↑

Serial No. ↑

Atty. Dkt. PM

263260

2769US AS/KM

M#

Client Ref

## National Phase Field

Based on PCT EP99 00159

↑ Country Code &amp; Year

Title: RECEPTOR BINDING ASSAYS FOR THE DETECTION OF  
TSH RECEPTOR AUTOANTIBODIES AND REAGENTS AND  
REAGENT KIT FOR CARRYING OUT SUCH A RECEPTOR  
BINDING ASSAY

Date: November 12, 1999

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL PHASE CASE

Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905)  copy attached  not yet received
2.  Signed Declaration  Original  Facsimile/Copy  with spec/claims attached
3.  Translation of the International Application into English including:
  - a.  Request;
  - c. \_\_\_ pgs. Spec. and Claims;
  - e. \_\_\_ sheets Drawing which are:  informal  formal of size  A4  11"
  - b.  Abstract
  - d.  Translation verification
4.  Copy of International Search Report (ISR) attached (7/1999 page(s)) 00000028 09381032
  - a.  plus Annex of family members (\_\_\_ page(s))

01 FC:254 65.00 00  
02 FC:969 130.00 00
5. Information Disclosure Statement including
  - a.  Form PTO-1449 listing documents
  - b.  Copies of document(s) listed on Form PTO-1449
  - c.  A concise explanation of ISR references is given in the ISR
6.  Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7.  Copy of Power to international application agent.
8. \_\_\_ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9.  Formal Drawings: \_\_\_ sheet(s)  informal;  formal of size:  A4  11"
10.  Please immediately start national examination procedures (35 USC 371(f))

11.  Attached:

12.  Preliminary Amendment:

13.  Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:

14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
 12 (above) or item(s) in PAT-112 (filed previously)       12       14       17       25

15. **CLAIMS FEES**       previously paid       paid herewith as follows:

15A. Small Entity Statement  Herewith       Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	15	minus 20 =	0	x \$18/\$9	+	966/967
17. Independent Claims	2	minus 3 =	0	x \$78/\$39	+	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$260/\$130	+130	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65	154/254
20.				<b>SUBTOTAL</b>	<b>\$195</b>	
21. <u>Original due date:</u>						
22. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached		(1 mo)	\$110/\$55 =	+	115/215	
		(2mos)	\$380/\$190 =	+	116/216	
		(3mos)	\$870/\$435 =	+	117/217	
		(4mos)	\$1360/\$680 =	+	118/218	
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....			\$	+	139	
24. If "assignment" box 6 is X'd, add recording fee. ....			\$40	+40	581	
25.				<b>TOTAL FEE ENCLOSED =</b>	<b>\$235</b>	

(Our Deposit Account No. 03-3975)

(Our Order No. 11377

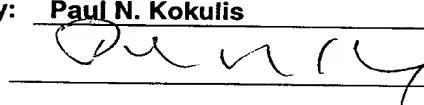
C#

263260

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP  
 Intellectual Property Group  
 1100 New York Avenue, N.W.      By: Atty: Paul N. Kokulis      Reg. No. 16773  
 Ninth Floor East Tower  
 Washington, D.C. 20005-3918      Sig:   
 Tel: (202) 861-3000      Fax: (202) 822-0944  
 PNK/ded      Tel: (202) 861-3503

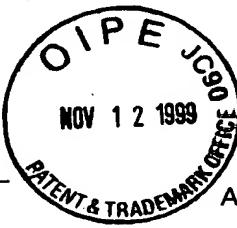
NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

520 Rec'd PCT/PTO

12 NOV

1999

In re PATENT (application) of  
Inventor(s): BERGMANN et al.Appln. No.: 09 | 381,032  
Series Code ↑ ↑ Serial No.Atty. Dkt PM 263260  
M#2769US AS/KM  
Client Ref

Filed: September 15, 1999

Group Art Unit : Unknown

Patent No.

Issued:

Examiner: Unknown

Allowed (date):

Title: RECEPTOR BINDING ASSAYS FOR THE DETECTION Issue Batch No.:  
OF TSH RECEPTOR AUTOANTIBODIES AND  
REAGENTS AND REAGENT KIT FOR ...Date: November 12, 1999Asst. Commissioner of Patents  
Washington, D.C. 20231Attention: Refund Section,  
Accounting Division,  
Office of FinanceSMALL ENTITY CLAIM AND REFUND REQUEST

Sir:

Since the  filing fee  issue fee  maintenance fee  other fee:  
 was paid within the last two months\*, i.e., on September 15, 1999, please refund one-half of that fee by  
 crediting \$420.00 to our Account/Order Nos. shown below on the basis of the 1 (No.) Small Entity  
 Statement(s) attached hereto.

(Our Deposit Account No. 03-3975)

(Our Order No. 11377 | 263260)

C# M#

Respectfully submitted,

Pillsbury Madison & Sutro LLP  
Intellectual Property Group1100 New York Avenue, N.W.  
Ninth Floor East Tower  
Washington, D.C. 20005-3918  
Tel: (202) 861-3000By: Atty: Paul N. KokulisReg. No. 16773Sig: Paul N. KokulisFax: (202) 822-0944  
Tel: (202) 861-3503Enclosures  
Atty/Sec: PNK/ded\*Two months is not extendible!  
(PTO Rule 28(a))Note: File this sheet in  
duplicate with PTO  
receipt (PAT-103A)